

**RECOMMENDATION BY ICCAT TO AMEND THE RECOMMENDATION  
BY ICCAT ON MULTI-YEAR CONSERVATION AND  
MANAGEMENT PROGRAM FOR BIGEYE TUNA**

**THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:**

1. The terms of the 2004 *Recommendation by ICCAT on a Multi-Year Conservation and Management Program for Bigeye Tuna* [Rec. 04-01] shall be extended through 2010 except for:
  - The Total Allowable Catch (TAC) for 2010 is 85,000 t. If the total catch in 2010 exceeds 85,000 t, that excess amount shall be deducted from catch limits of Contracting Parties, and Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPC) in 2011 on a prorata basis.
  - The catch limit for Korea shall be 2,100 t.
  - For developing coastal CPCs not shown in the Table in 4 a) of the Recommendation [04-01], if the catch exceeds 3,500 t in 2010, an appropriate catch limit shall be established for that CPC for the following years.
  - Notwithstanding Recommendation [04-01] and Recommendation [06-01], Chinese Taipei may allow seven additional longline vessels to fish for bigeye tuna in the Convention area only in 2010 and 2011.
  - Notwithstanding the Recommendation [04-01], Philippines may allow two additional longline vessels to fish for bigeye tuna in the Convention area only in 2010 and 2011.
2. All underages or overages of the annual catch limit of bigeye tuna in 2010 may be added to or shall be deducted from the annual catch limit in 2011 and/or 2012.
3. The 2,000 t transfer of bigeye tuna catch limit from Japan to China in 2010 and 800 t transfer of bigeye tuna catch limit from Japan to Korea in 2010 shall be authorized.
4. The 2,500 t transfer of bigeye tuna catch limit from the European Community to Ghana in 2010 shall be authorized.
5. By 31 March 2010, Ghana shall submit to ICCAT an action plan in order to strengthen the collection of statistical data (Task I and II) and to develop control measures so as to ensure the full implementation of conservation and management measures.
6. The 2010 meeting of the Commission shall review the draft recommendations concerning the time-area closure, attached to the Report of Panel 1.

**SUPPLEMENTAL RECOMMENDATION BY ICCAT TO AMEND  
THE REBUILDING PROGRAM FOR NORTH ATLANTIC SWORDFISH**

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. The terms of the 2006 *Supplemental Recommendation by ICCAT to Amend the Rebuilding Program for North Atlantic Swordfish* [Rec. 06-02] shall be extended through 2010 except for:
  - The Total Allowable Catch (TAC) in 2010 is 13,700 t.
  - If the total catch in 2010 exceeds 13,700 t, the excess amount shall be deducted from the quota/catch limit for each Contracting Party, and Cooperating non-Contracting Party, Entity or Fishing Entity (hereafter referred to as CPC) on a prorata basis in 2011.
  - The European Community shall be allowed to count up to 200 t of its swordfish catch taken from the North Atlantic management area against its uncaught South Atlantic swordfish quota.
2. The table in paragraph 4 of Rec. 06-02 shall be revised as follows:

	<i>Catch Year</i>	<i>Adjustment Year</i>
North Atlantic swordfish	2007	2009
	2008	2010
	2009	2011
	2010	2012

3. 2007-2008 in paragraph 5 of Rec. 06-02 shall be replaced with “2009-2010”.
4. The 100 t transfer of swordfish catch limit from Senegal to Canada in 2010 shall be authorized.
5. In advance of the next assessment of North Atlantic swordfish, the SCRS shall develop a Limit Reference Point (LRP) for this stock. Future decisions on the management of this stock shall include a measure that would trigger a rebuilding plan, should the biomass decrease to a level approaching the defined LRP as established by the SCRS.

**RECOMMENDATION BY ICCAT ON  
SOUTH ATLANTIC SWORDFISH CATCH LIMITS**

*CONSIDERING* that the SCRS indicates that the current estimated fishing mortality rate is likely below that which would produce Maximum Sustainable Yield (MSY), and the current biomass is likely above that which would result from fishing at  $F_{MSY}$  in the long term;

*CONSCIOUS* that the SCRS recommends that annual catch should not exceed the estimated MSY (about 15,000 t);

*RECOGNIZING* that this multi-annual approach for the management of South Atlantic swordfish reflects the thrust of the *ICCAT Criteria for the Allocation of Fishing Possibilities*, adopted by the Commission in 2001, for the period concerned;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. For 2010, 2011 and 2012, the Total Allowable Catch (TAC) and the catch limits shall be as follows:

(Unit: t)

	2010	2011	2012
TAC (1)	15,000	15,000	15,000
Brazil (2)	3,666	3,785	3,940
European Community	5,282	5,082	4,824
South Africa	932	962	1,001
Namibia	1,168	1,168	1,168
Uruguay	1,165	1,204	1,252
United States (3)	100	100	100
Cote d'Ivoire	125	125	125
China	263	263	263
Chinese Taipei (3)	459	459	459
United Kingdom	25	25	25
Japan (3)	901	901	901
Angola	100	100	100
Ghana	100	100	100
St. Tome & Principe	100	100	100
Senegal	389	401	417
Philippines	50	50	50
Korea	50	50	50
Belize	125	125	125

- (1) The total catch for the three-year management period of 2010-2012 shall not exceed 45,000 t (15,000 t x 3). If the yearly total catch of any of the three years exceeds 15,000 t; the TAC(s) for the following year(s) shall be adjusted to ensure that the three-year total will not exceed 45,000 t. If the total catch in 2012 exceeds 15,000 t and if the three-year total catch exceeds 45,000 t, the exceeded amount for three years shall be adjusted in the next management period. In general, these adjustments shall be carried out through prorate reduction of the quota for each CPC.
- (2) Brazil may harvest up to 200 t of its annual catch limit within the area between 5 degrees North latitude and 15 degrees North latitude.
- (3) Japan's, the U.S.A.'s and Chinese Taipei's underage in 2009 may be carried over to 2010 up to 800 t, 100 t and 400 t, respectively, in addition to their quotas specified in this table. Those CPCs may also carry over unused portions during 2010-2012 but such carried over amounts each year shall not exceed the amounts specified here.

2. Any unused portion or excess of the annual quota/catch limit may be added to/shall be deducted from, according to the case, the respective quota/catch limit during or before the adjustment year, in the following way for South Atlantic swordfish:

<i>Catch Year</i>	<i>Adjustment Year</i>
2010	2012
2011	2013
2012	2014

However, the maximum underage that a party may carryover in any given year shall not exceed 50% of the quota of previous year.

3. Japan shall be allowed to count up to 400 t of its swordfish catch taken from the part of the North Atlantic management area that is east of 35 degrees W and south of 15 degrees N, against its uncaught South Atlantic swordfish quota.
4. The European Community shall be allowed to count up to 200 t of its swordfish catch taken from the North Atlantic management area against its uncaught South Atlantic swordfish quota.
5. The 50 t quota transfers from South Africa, Japan and United States to Namibia (total: 150 t) , the 25 t quota transfers from United States to Côte d'Ivoire and the 25 t quota transfer from United States to Belize shall be authorized. The quota transfers shall be reviewed annually in response to a request from an involved CPC.
6. None of the arrangements in this Recommendation shall be deemed to prejudice a future arrangement relating to South Atlantic Swordfish.

**RECOMMENDATION FOR A MANAGEMENT FRAMEWORK FOR THE  
SUSTAINABLE EXPLOITATION OF MEDITERRANEAN SWORDFISH  
AND REPLACING ICCAT RECOMMENDATION 08-03**

*RECOGNISING* that the Commission's Standing Committee on Research and Statistics (SCRS) indicated in its 2007 stock assessment that the fishing mortality needs to be reduced to move the stock toward the Convention objective of biomass levels which could support Maximum Sustainable Yield (MSY), and that seasonal closures are considered to be beneficial in moving the stock condition closer to the Convention objective,

*NOTING* that the SCRS in its assessment in 2007, as reaffirmed in its 2009 advice, estimated that fish less than three years old usually represent 50-70% of the total yearly catches in terms of numbers and 20-35% in terms of weight and indicates that a reduction in the volume of juvenile catches would improve yield per recruit and spawning biomass per recruit levels,

*RECALLING* the *Recommendation by ICCAT Relating to Mediterranean Swordfish* [Rec. 03-04], which encourages Contracting Parties, and Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPCs) to take measures to reduce juvenile Mediterranean swordfish catches,

*TAKING INTO ACCOUNT* the SCRS advice given in 2008 and 2009, advocating seasonal closures pending the adoption of a more comprehensive management plan for Mediterranean swordfish,

*TAKING INTO ACCOUNT* that the SCRS advise that swordfish and in particular juvenile swordfish is also caught as a by-catch in other fisheries and that all catches of swordfish should stop during the closed period,

*TAKING INTO ACCOUNT* that *Recommendation by ICCAT on Mediterranean Swordfish* [Rec. 08-03] needs to be replaced to set the basis for such a more comprehensive management plan for Mediterranean swordfish,

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF  
THE ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. Catching of swordfish, both as a targeted fishery and as by-catch, shall be prohibited in the Mediterranean during the period from 1 October to 30 November each year, until a long-term management plan is decided by ICCAT. Mediterranean swordfish shall not be retained on board, transhipped or landed during the period of closure.
2. CPCs shall monitor the effectiveness of this closure and submit relevant information on appropriate controls and inspections to ensure compliance with the measure by 15 October each year.
3. CPCs shall ensure the maintenance or development of adequate scientific information in the formats requested by ICCAT and in the smallest time-area possible on the swordfish catches, on the size and age distributions of all swordfish catches and on the fishing effort (fishing days per vessel, number of hooks per vessel, longline units per vessel, overall length of longline per vessel) by each pelagic longline fishery for highly migratory pelagic stocks in the Mediterranean. They shall provide these data annually by 30 June to the SCRS.
4. The Commission shall establish and maintain an ICCAT list of all fishing vessels authorised to catch swordfish in the Mediterranean Sea and make it available at the latest by 31 August each year. For the purposes of this recommendation, vessels not entered into the ICCAT list of all vessels authorised to swordfish in the Mediterranean Sea are deemed not to be authorised to catch, retain on board, tranship, transport, process or land swordfish.

5. CPCs shall communicate electronically to the ICCAT Secretariat not later than 30 June each year, the list of its fishing vessels that were authorized to carry out pelagic longline fisheries for highly migratory pelagic species in the Mediterranean during the preceding year in the format set out in the Guidelines for Submitting Data and Information Required by ICCAT. The list of vessels shall contain the following information for each vessel:
  - name of vessel;
  - register number;
  - external marking;
  - previous name (if any);
  - previous flag (if any);
  - type of vessel, length and gross tonnage (GT) and/or gross registered tonnage (GRT);
  - period(s) fished and total annual number of fishing days by fishery (i.e. by target species and area);
  - geographical areas, by ICCAT statistical rectangles, where fishing by fishery (i.e. by target species and area) was carried out;
  - number of hooks used by fishery (i.e. by target species and area);
  - number of longline units used by fishery (i.e. by target species and area);
  - overall length of all longline units by fishery (i.e. by target species and area).
6. Procedures referred in the 2002 Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels Over 24 Meters Authorised to Operate in the Convention Area [Rec. 02-22] shall apply *mutatis mutandis*.
7. CPCs shall grant special fishing permits to vessels authorised to participate in pelagic longline fisheries for highly-migratory pelagic stocks in the Mediterranean for each fishery (i.e. by target species and area).
8. The SCRS shall provide in 2010 an updated assessment of the state of the stock on the basis of updated data from 2009. It shall assess the effects of the seasonal closure and provide advice on possible spatial temporal closures, as well as other possible technical measures, pertaining notably to rigging techniques, hook sizes and shapes, with a view to reducing by-catches of juvenile swordfish by pelagic longline fisheries. On the basis of the information provided under paragraph 5, the SCRS shall also provide an assessment of fishing capacity and possibly on a minimum catching size to achieve high yields and compatible with the selectivity of the fishing gear.
9. Based on such scientific advice, the ICCAT shall decide, by end of 2010, a more comprehensive long-term management plan for swordfish including, in particular, the identification of closure periods for specific areas, the establishment of fishing effort reference level and technical measures for all pelagic longline fisheries catching swordfish either as targeted or as by-catch.
10. This Recommendation replaces the *Recommendation by ICCAT on Mediterranean Swordfish* [Rec. 08-03].

**RECOMMENDATION BY ICCAT TO ESTABLISH A  
REBUILDING PROGRAM ON NORTH ATLANTIC ALBACORE**

*RECALLING* the 1998 Recommendation by ICCAT Concerning the Limitation of Fishing Capacity on Northern Albacore [Rec. 98-08] and the Recommendation by ICCAT on North Atlantic Albacore Catch Limits for the Period 2008-2009 [Rec. 07-02];

*NOTING* that the objective of the Convention is to maintain populations at levels that will support maximum sustainable catch (usually referred to as MSY);

*CONSIDERING* that the 2009 Standing Committee on Research and Statistics (SCRS) stock assessment concluded that the northern albacore stock is overfished and overfishing is occurring, and recommended a level of catch of no more than 28,000 t to meet the Convention management objective by 2020;

*RECALLING* the importance that all fleets participating in the northern albacore fishery, submit the required data (catch, effort and catch-at-size) on their fisheries for transmission to the SCRS;

**THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:**

1. The establishment of a Total Allowable Catch (TAC) of 28,000 t for 2010 and 2011.
2. This catch limit shall be allocated among the ICCAT Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPCs) according to the following:

<i>Party</i>	<i>2010 and 2011 Quota</i>
European Community	21,551.3 t
Chinese Taipei	3,271.7 <sup>1</sup> t
United States	527 t
Venezuela	250 t

3. With the exception of Japan, CPCs other than those mentioned in paragraph 2 shall limit their catches to 200 t.
4. Japan shall endeavour to limit its total northern albacore catches to a maximum of 4% in weight of its total bigeye tuna longline catch in the Atlantic Ocean.
5. Any unused portion or excess of a CPC's annual quota/catch limit may be added to/shall be deducted from, according to the case, the respective quota/catch limit during or before the adjustment year, in the following way:

<i>Year of Catch</i>	<i>Adjustment Year</i>
2010	2012 and/or 2013
2011	2013 and/or 2014

However, the maximum underage that a Party may carry-over in any given year shall not exceed 25% of its initial catch quota.

If, in any year, the combined landings of CPCs exceed the TAC of 28,000 t, the Commission will re-evaluate the northern albacore recommendation at its next Commission meeting and recommend further conservation measures, as appropriate.

<sup>1</sup> Chinese Taipei will each year transfer 100 t from its quota to St. Vincent and the Grenadines.

6. The 1998 *Recommendation by ICCAT Concerning the Limitation of Fishing Capacity on Northern Albacore* [Rec. 98-08] remains in force.
7. The SCRS shall monitor the northern albacore stock and provide advice to the Commission on the appropriate management measures to achieve and maintain the Convention objectives.
8. This Recommendation replaces *Recommendation by ICCAT on North Atlantic Albacore Catch Limits for the Period 2008-2009* [Rec. 07-02];

**RECOMMENDATION AMENDING RECOMMENDATION 08-05  
TO ESTABLISH A MULTIANNUAL RECOVERY PLAN FOR BLUEFIN TUNA  
IN THE EASTERN ATLANTIC AND MEDITERRANEAN**

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**Total Allowable Catch (TAC) and associated conditions**

1. The total allowable catches for eastern Atlantic and Mediterranean bluefin tuna shall be set at 13,500 t in 2010. The allocation scheme established by Recommendation 08-05 shall remain unchanged.
2. The SCRS shall present a Kobe II strategy matrix reflecting recovery scenarios of eastern Atlantic and Mediterranean bluefin tuna in accordance with the multiannual recovery plan of the present Recommendation.
3. The Commission shall establish at its 2010 meeting a three-year recovery plan for 2011-2013 with the goal of achieving  $B_{MSY}$  through 2022 with at least 60% of probability, on a basis of the SCRS advice described in paragraph 2 above.
4. If the SCRS stock assessment detects a serious threat of fishery collapse, the Commission shall suspend all the fisheries for eastern Atlantic and Mediterranean bluefin tuna in 2011. Contracting Party, and Cooperating non-Contracting Party, Entity or Fishing Entity (hereafter referred to as CPCs) shall immediately intensify research activities so that SCRS can conduct further analysis and present recommendations on conservation and management measures necessary to resume the fisheries.

**Closed fishing season**

5. Purse seine fishing for bluefin tuna shall be prohibited in the eastern Atlantic and Mediterranean during the period from 15 June to 15 May.
6. The bad weather clause allowing for a possible extension of up to 5 days until 20 June (paragraph 21 of Rec. 08-05) shall be cancelled.

**Further reduction of fishing capacity**

7. Without prejudice to paragraph 45 of Rec. 08-05, each CPC shall reduce its fishing capacity referred to in paragraphs 42, 43 and 44 of Rec. 08-05 so as to ensure that the discrepancy between its fishing capacity and its fishing capacity commensurate with its allocated quota in 2011, 2012 and 2013, in accordance with the methodology approved at the 2009 annual meeting, shall be reduced by:
  - a) at least 50% in 2011
  - b) 20% in 2012
  - c) 5% in 2013
8. Management plans on fishing capacity for the remaining period shall be submitted annually for discussion and approval by the Commission.

**Joint fishing operations**

9. For each CPC, the number of joint fishing operations between CPCs from 2010 shall be limited to the level of 2007, 2008 or 2009. Before the start of the fishing season, each concerned CPC shall notify the number of its joint fishing operations with other CPCs to the ICCAT Secretariat.

**Inter-sessional meeting on compliance**

10. The Commission shall review and determine each CPC's compliance, in particular that with paragraph 1 above and paragraph 46 of Recommendation 08-05, at its special session before the 2010 fishing season starts.
11. The Commission shall decide on the interim suspension or reduction of quota for the declared non compliant CPC, depending on the extent of the established non-compliance.

**RECOMMENDATION BY ICCAT ON THE CONSERVATION  
OF THRESHER SHARKS CAUGHT IN ASSOCIATION  
WITH FISHERIES IN THE ICCAT CONVENTION AREA**

*RECALLING* that the Commission adopted the *Resolution by ICCAT on Atlantic Sharks* [Res. 01-11], the *Recommendation by ICCAT Concerning the Conservation of Sharks Caught in Association with Fisheries Managed by ICCAT* [Rec. 04-10], the *Recommendation by ICCAT to Amend the Recommendation 04-10 on the Conservation of Sharks Caught in Association with the Fisheries Managed by ICCAT* [Rec. 05-05], the *Supplemental Recommendation by ICCAT Concerning Sharks* [Rec. 07-06] and the *Recommendation by ICCAT on the Conservation of Bigeye Thresher Sharks (*Alopias superciliosus*) Caught in Association with Fisheries Managed by ICCAT* [Rec. 08-07],

*CONSIDERING* that thresher sharks of the family *Alopiidae* are caught as by-catch in the ICCAT Convention area,

*NOTING* that at its 2009 Meeting the Standing Committee on Research and Statistics (SCRS) recommended that the Commission prohibit retention and landings of bigeye thresher shark (*Alopias superciliosus*),

*RECALLING* the need to annually report Task I and Task II for catches of sharks in conformity with the *Recommendation by ICCAT Concerning the Conservation of Sharks Caught in Association with Fisheries Managed by ICCAT* [Rec. 04-10],

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF  
ATLANTIC TUNA (ICCAT) RECOMMENDS THAT:

1. Contracting Parties, and Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPCs) shall prohibit, retaining onboard, transshipping, landing, storing, selling, or offering for sale any part or whole carcass of bigeye thresher sharks (*Alopias superciliosus*) in any fishery with exception of a Mexican small-scale coastal fishery with a catch of less than 110 fish.
2. CPCs shall require vessels flying their flag to promptly release unharmed, to the extent practicable, bigeye thresher sharks when brought along side for taking on board the vessel.
3. CPCs should strongly endeavour to ensure that vessels flying their flag do not undertake a directed fishery for species of thresher sharks of the genus *Alopias spp.*
4. CPCs shall require the collection and submission of Task I and Task II data for *Alopias spp* other than *A. superciliosus* in accordance with ICCAT data reporting requirements. The number of discards and releases of *A. superciliosus* must be recorded with indication of status (dead or alive) and reported to ICCAT in accordance with ICCAT data reporting requirements.
5. CPCs shall, where possible, implement research on thresher sharks of the species *Alopias spp* in the Convention area in order to identify potential nursery areas. Based on this research, CPCs shall consider time and area closures and other measures, as appropriate.
6. *Recommendation by ICCAT on the Conservation of Bigeye Thresher Sharks (*Alopias superciliosus*) Caught in Association with Fisheries Managed by ICCAT* [Rec. 08-07] is superseded by this Recommendation.

**RECOMMENDATION BY ICCAT CONCERNING THE ESTABLISHMENT  
OF AN ICCAT RECORD OF VESSELS 20 METERS IN LENGTH OVERALL  
OR GREATER AUTHORIZED TO OPERATE IN THE CONVENTION AREA**

*RECALLING* that ICCAT adopted at its 2000 meeting a *Recommendation by ICCAT Concerning Registration and Exchange of Information of Fishing Vessels Fishing for Tuna and Tuna-like Species in the Convention Area*,

*FURTHER RECALLING* that ICCAT adopted at its 1994 meeting a *Resolution by ICCAT Regarding the Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels on the High Seas*,

*FURTHER RECALLING* that the Commission has been taking various measures to prevent, deter and eliminate the illegal, unreported and unregulated (IUU) fisheries conducted by large-scale tuna fishing vessels,

*NOTING* that large-scale fishing vessels are highly mobile and easily change fishing grounds from one ocean to another, and have high potential of operating in the Convention area without timely registration with the Commission,

*RECALLING* that the FAO Council adopted on June 23, 2001 an International Plan of Action (IPOA) aiming to prevent, to deter and to eliminate illegal, unreported and unregulated fishing, that this plan stipulates that the regional fisheries management organization should take action to strengthen and develop innovative ways, in conformity with international law, to prevent, deter and eliminate IUU fishing and in particular to establish records of vessels authorized and records of vessels engaged in IUU fishing,

*CONSIDERING* the information Contracting Parties or Cooperating non-Contracting Parties, Entities or Fishing Entities (hereinafter referred to as CPCs), have provided in 2005 on the number and type of vessels between 15 and 24 meters,

*RECOGNIZING* that an increasing number of vessels just below 24 meters length overall are being constructed and are operating in the ICCAT Convention area,

*CONCERNED* that effort and catch by vessels below 24 meters warrants an increased level of monitoring and control.

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF THE ATLANTIC TUNA (ICCAT) RECOMMENDS THAT:

1. The Commission shall establish and maintain an ICCAT record of fishing vessels 20-meters in length overall or greater (hereinafter referred to as “large scale fishing vessels” or “LSFVs”) authorized to fish for tuna and tuna-like species in the Convention Area. For the purpose of this recommendation, LSFVs not entered into the record are deemed not to be authorized to fish for, retain on board, transship or land tuna and tuna-like species.
2. Each CPC shall submit, to the ICCAT Executive Secretary, the list of its LSFVs that are authorized to operate in the Convention area. Where possible, this shall be submitted electronically. This list shall include the following information:
  - Name of vessel, register number
  - Previous name (if any)
  - Previous flag (if any)
  - Previous details of deletion from other registries (if any)
  - International radio call sign (if any)
  - Type of vessels, length, gross registered tonnage (GRT), and, where possible, Gross Tonnage (GT)

- Name and address of owner(s) and operator(s)
- Gear used
- Time period authorized for fishing and/or transshipping

The initial ICCAT record shall consist of all the lists submitted under this paragraph.

3. Each CPC shall promptly notify, after the establishment of the initial ICCAT record, the ICCAT Executive Secretary of any addition to, any deletion from and/or any modification of the ICCAT record at any time such changes occur.
4. The ICCAT Executive Secretary shall maintain the ICCAT record, and take any measure to ensure publicity of the record and through electronic means, including placing it on the ICCAT website, in a manner consistent with confidentiality requirements noted by CPCs.
5. The flag CPCs of the vessels on the record shall:
  - a) Authorize their LSFVs to operate in the Convention area only if they are able to fulfill in respect of these vessels the requirements and responsibilities under the Convention and its conservation and management measures;
  - b) Take necessary measures to ensure that their LSFVs comply with all the relevant ICCAT conservation and management measures;
  - c) Take necessary measures to ensure that their LSFVs on the ICCAT record keep on board valid certificates of vessel registration and valid authorization to fish and/or transship;
  - d) Ensure that their LSFVs on the ICCAT record have no history of IUU fishing activities or that, if those vessels have such history, the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in, or control over those vessels, or that having taken into account all relevant facts, their LSFVs are not engaged in or associated with IUU fishing;
  - e) Ensure, to the extent possible under domestic law, that the owners and operators of their LSFVs on the ICCAT record are not engaged in or associated with tuna fishing activities conducted by LSFVs not entered into the ICCAT record in the Convention area; and
  - f) Take necessary measures to ensure, to the extent possible under domestic law, that the owners of the LSFVs on the ICCAT record are citizens or legal entities within the flag CPCs so that any control or punitive actions can be effectively taken against them.
6. CPCs shall review their own internal actions and measures taken pursuant to paragraph 5, including punitive and sanction actions and in a manner consistent with domestic law as regards disclosure, report the results of the review to the Commission at its 2003 meeting and annually thereafter. In consideration of the results of such review, the Commission shall, if appropriate, request the flag CPCs of LSFVs on the ICCAT record to take further action to enhance compliance by those vessels to ICCAT conservation and management measures.
7.
  - a) CPCs shall take measures, under their applicable legislation, to prohibit the fishing for, the retaining on board, the transshipment and landing of tuna and tuna-like species by the LSTVs which are not entered into the ICCAT record.
  - b) To ensure the effectiveness of the ICCAT conservation and management measures pertaining to species covered by Statistical Document Programs:
    - i) Flag CPCs or, if the vessel is under a charter arrangement, the exporting CPC shall validate statistical documents only for the LSFVs on the ICCAT record,
    - ii) CPCs shall require that the species covered by Statistical Document Programs caught by LSFVs in the Convention area, when imported into the territory of a Contracting Party be accompanied by statistical documents validated for the vessels on the ICCAT record and,

- iii) CPCs importing species covered by Statistical Document Programs and the flag States of vessels shall cooperate to ensure that statistical documents are not forged or do not contain misinformation.
8. Each CPC shall notify the ICCAT Executive Secretary of any factual information showing that there are reasonable grounds for suspecting LSTVs not on the ICCAT record to be engaged in fishing for and/or transshipment of tuna and tuna-like species in the Convention area.
  9.
    - a) If a vessel mentioned in paragraph 8 is flying the flag of a CPC, the Executive Secretary shall request that CPC to take measures necessary to prevent the vessel from fishing for tuna and tuna-like species in the Convention area.
    - b) If the flag of a vessel mentioned in paragraph 8 cannot be determined or is of a non-Contracting Party without cooperating status, the Executive Secretary shall compile such information for future consideration by the Commission.
  10. The Commission and the CPCs concerned shall communicate with each other, and make the best effort with FAO and other relevant regional fishery management bodies to develop and implement appropriate measures, where feasible, including the establishment of records of a similar nature in a timely manner so as to avoid adverse effects upon tuna resources in other oceans. Such adverse effects might consist of excessive fishing pressure resulting from a shift of the IUU LSFVs from the Atlantic to other oceans.
  11. The 2000 *Recommendation by ICCAT Concerning Registration and Exchange of Information of fishing Vessels Fishing for Tuna and Tuna-like Species in the Convention Area* [Rec. 00-17] is hereby repealed.
  12. The *Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels over 24 Meters Authorized to Operate in the Convention Area* [Rec. 02-22] is replaced by this recommendation.

**RECOMMENDATION BY ICCAT AMENDING THREE RECOMMENDATIONS IN CONFORMITY WITH THE 2009 RECOMMENDATION BY ICCAT CONCERNING THE ESTABLISHMENT OF AN ICCAT RECORD OF VESSELS 20 METERS IN LENGTH OVERALL OR GREATER AUTHORIZED TO OPERATE IN THE CONVENTION AREA**

*RECOGNISING* that the 2009 Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels 20 Meters in Length Overall or Greater Authorized to Operate in the Convention Area [Rec. 09-08] replaces the 2002 Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels Over 24 Meters Authorized to Operate in the Convention Area [Rec. 02-22];

*NOTING* three previously adopted Recommendations that make reference to Recommendation 02-22, in some cases adopting the conditions and procedures established in that Recommendation *mutatis mutandis*,

THE INTERNATIONAL COMMISSION FOR THE  
CONSERVATION OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. References to the 2002 “*Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels Over 24 Meters Authorized to Operate in the Convention Area* [Rec. 02-22]” be replaced by “*Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels 20 Meters in Length Overall or Greater Authorized to Operate in the Convention Area*” in the following provisions:
  - i) *Recommendation by ICCAT Concerning the Amendment of the Forms of the ICCAT Bluefin/Bigeye/Swordfish Statistical Documents* [Rec. 03-19], in the first paragraph of the preamble;
  - ii) *Recommendation Amending the Recommendation by ICCAT to Establish a Multi-annual Recovery Plan for Bluefin Tuna in the Eastern Atlantic and Mediterranean* [Rec. 08-05], in paragraph 56 and 58.
  - iii) *Recommendation by ICCAT Amending Ten Recommendations and Three Resolutions* [Rec. 08-11] in Paragraph 2 (iii) and Paragraph 5.
2. References to “Recommendation [02-22]” be replaced by “Recommendation [09-08]” in the second paragraph of the preamble of the *Recommendation by ICCAT Concerning the Amendment of the Forms of the ICCAT Bluefin/Bigeye/Swordfish Statistical Documents* [Rec. 03-19].

**RECOMMENDATION BY ICCAT FURTHER AMENDING THE RECOMMENDATION BY ICCAT TO ESTABLISH A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT ILLEGAL, UNREPORTED AND UNREGULATED FISHING ACTIVITIES IN THE ICCAT CONVENTION AREA**

*RECALLING* that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, to deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out Illegal Unregulated and Unreported (IUU) activities should follow agreed procedures and be applied in an equitable, transparent and non-discriminatory way.

*RECALLING* that ICCAT has already adopted measures against IUU fishing activities and, in particular, against large-scale tuna longline vessels.

*CONCERNED* by the fact that IUU fishing activities in the ICCAT area continue, and these activities diminish the effectiveness of ICCAT conservation and management measures.

*FURTHER CONCERNED* that there is evidence of a large number of vessel owners engaged in such fishing activities which have re-flagged their vessels to avoid compliance with ICCAT management and conservation measures, and to evade the ICCAT-adopted non discriminatory trade measures.

*DETERMINED* to address the challenge of an increase in IUU fishing activities by way of counter-measures to be applied in respect to the vessels, without prejudice to further measures adopted in respect of flag States under the relevant ICCAT instruments.

*CONSIDERING* the results of the ICCAT *Ad Hoc* Working Group on Measures to Combat IUU Fishing, which was held in Tokyo from May 27 to 31, 2002.

*CONSCIOUS* of the urgent need to address the issue of large-scale fishing vessels as well as other vessels conducting IUU fishing and fishing related activities in support of IUU fishing.

*NOTING* that the situation must be addressed in the light of all relevant international fisheries instruments and in accordance with the relevant rights and obligations established in the World Trade Organization (WTO) Agreement.

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF THE ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**Definition of IUU Activities**

1. For the purposes of this recommendation, the fishing vessels flying the flag of a non-Contracting Party, or a Cooperating non-Contracting Party, Entity or Fishing Entity, or a Contracting Party are presumed to have carried out illegal, unreported and unregulated fishing activities in the ICCAT Convention area, *inter alia*, when a Contracting Party or a Cooperating non-Contracting Party, Entity or Fishing Entity (hereafter referred to as CPC) presents evidence that such vessels:
  - a) Harvest tunas and tuna-like species in the Convention area and are not registered on the ICCAT list of vessels authorized to fish for tuna and tuna-like species in the ICCAT Convention area;
  - b) Harvest tuna and tuna-like species in the Convention area, whose flag State is without quotas, catch limit or effort allocation under relevant ICCAT conservation and management measures;
  - c) Do not record or report their catches made in the ICCAT Convention area, or make false reports;
  - d) Take or land undersized fish in contravention of ICCAT conservation measures;
  - e) Fish during closed fishing periods or in closed areas in contravention of ICCAT conservation measures;
  - f) Use prohibited fishing gear in contravention of ICCAT conservation measures;

- g) Transship with, or participate in joint operations such as re-supply or re-fuelling vessels included in the IUU vessels list;
- h) Harvest tuna or tuna-like species in the waters under the national jurisdiction of the coastal States in the Convention area without authorization and/or infringes its laws and regulations, without prejudice to the sovereign rights of coastal States to take measures against such vessels,
- i) Are without nationality and harvest tunas or tuna-like species in the ICCAT Convention area, and/or
- j) Engage in fishing activities contrary to any other ICCAT conservation and management measures.

#### **Information on alleged IUU activities**

2. CPCs shall transmit every year to the Executive Secretary at least 120 days before the annual meeting, the list of vessels flying the flag of a non-Contracting Party presumed to be carrying out IUU fishing activities in the Convention Area during the current and previous year, accompanied by the supporting evidence concerning the presumption of IUU fishing activity.

This list shall be based on the information collected by CPCs, *inter alia*, under relevant ICCAT recommendations and resolutions.

#### **Draft IUU List**

3. On the basis of the information received pursuant to paragraph 2, the ICCAT Executive Secretary shall draw up a Draft IUU List. This list shall be drawn up in conformity with **Annex 1**. The Secretary shall transmit it together with the current IUU List as well as all the evidence provided to CPCs, and to non-Contracting Parties whose vessels are included on these lists before at least 90 days before the annual meeting. CPCs and non-Contracting Parties, shall transmit their comments, as appropriate, including evidence showing that the listed vessels have neither fished in contravention to ICCAT conservation and management measures nor had the possibility of fishing tuna and tuna-like species in the Convention area, at least 30 days before the annual meeting of ICCAT.

The Commission shall request the flag State to notify the owner of the vessels of its inclusion in the Draft IUU List and of the consequences that may result from their inclusion being confirmed in the IUU list adopted by the Commission.

Upon receipt of the Draft IUU List, CPCs shall closely monitor these vessels included in the Draft IUU List in order to determine their activities and possible changes of name, flag and/or registered owner.

#### **Provisional IUU List**

4. On the basis of the information received pursuant to paragraph 3, the Executive Secretary shall draw up a Provisional List which he will transmit two weeks in advance to the Commission meeting to the CPCs and to the non-Contracting Parties concerned, together with all the evidence provided. This list shall be drawn up in conformity with **Annex 1**.
5. CPCs may at any time submit to the ICCAT Executive Secretary any additional information, which might be relevant for the establishment of the IUU list. The ICCAT Executive Secretary shall circulate the information, at latest before the annual meeting, to the CPCs and to the non-Contracting Parties concerned, together with all the evidence provided.
6. The Permanent Working Group for the Improvement of ICCAT Statistics and Conservation Measures (PWG) shall examine, each year, the Provisional List, as well as the information referred to in paragraphs 3 and 5. The results of this examination may, if necessary, be referred to the Conservation and Management Measures Compliance Committee.

The PWG shall remove a vessel from the Provisional List if the flag State demonstrates that:

- The vessel did not take part in any IUU fishing activities described in paragraph 1, or

- Effective action has been taken in response to the IUU fishing activities in question, including, *inter alia*, prosecution and imposition of sanctions of adequate severity.
7. Following the examination referred to in paragraph 6, at each ICCAT Annual meeting, the PWG shall:
- i) Adopt a Provisional IUU Vessel List following consideration of the Draft IUU List and information and evidence circulated under paragraphs 3 and 5. The Provisional IUU Vessel List shall be submitted to the Commission for approval.
  - ii) Recommend to the Commission which, if any, vessels should be removed from the IUU Vessel List adopted at the previous ICCAT annual meeting, following consideration of that List, of the information and evidence circulated under paragraph 5 and the information received in accordance with paragraph 13.

### **IUU List**

8. On adoption of the list, the Commission shall request non-Contracting Parties, whose vessels appear on the IUU List:
- To notify the owner of the vessel identified on the IUU Vessels List of its inclusion on the list and the consequences which result from being included on the list, as referred to in paragraph 9.
  - To take all the necessary measures to eliminate these IUU fishing activities, including if necessary, the withdrawal of the registration or of the fishing licences of these vessels, and to inform the Commission of the measures taken in this respect.
9. CPCs shall take all necessary measures, under their applicable legislation:
- So that the fishing vessels, support vessels, refuelling vessels, the mother-ships and the cargo vessels flying their flag do not assist in any way, engage in fishing processing operations or participate in any transshipment or joint fishing operations with vessels included on the IUU Vessels List;
  - So that IUU vessels are not authorized to land, tranship re-fuel, re-supply, or engage in other commercial transactions;
  - To prohibit the entry into their ports of vessels included on the IUU list, except in case of *force majeure*;
  - To prohibit the chartering of a vessel included on the IUU vessels list;
  - To refuse to grant their flag to vessels included in the IUU list, except if the vessel has changed owner and the new owner has provided sufficient evidence demonstrating the previous owner or operator has no further legal, beneficial or financial interest in, or control of, the vessel, or having taken into account all relevant facts, the flag CPC determines that granting the vessel its flag will not result in IUU fishing;
  - To prohibit the imports, or landing and/or transshipment, of tuna and tuna-like species from vessels included in the IUU list;
  - To encourage the importers, transporters and other sectors concerned, to refrain from transaction and transshipment of tuna and tuna-like species caught by vessels included in the IUU list;
  - To collect and exchange with other CPCs any appropriate information with the aim of searching for, controlling and preventing false import/export certificates regarding tunas and tuna-like species from vessels included in the IUU list.
10. The ICCAT Executive Secretary will take any necessary measure to ensure publicity of the IUU vessels list adopted by ICCAT pursuant to paragraph 7, in a manner consistent with any applicable confidentiality requirements, and through electronic means, by placing it on the ICCAT web site. Furthermore, the ICCAT Executive Secretary will transmit the IUU Vessels List to other regional fisheries organizations for the purposes of enhanced co-operation between ICCAT and these organizations in order to prevent, deter and eliminate illegal, unreported and unregulated fishing.
11. Upon receipt of the final IUU vessel list established by another regional fisheries management organization (RFMO) managing tuna or tuna-like species and supporting information considered by that RFMO, and any other information regarding the listing determination, the Executive Secretary shall circulate this

information to the CPCs. Vessels that have been included on or deleted from the respective lists shall be included on or deleted from the ICCAT IUU Vessel List as appropriate, unless any Contracting Party objects to the inclusion on the final ICCAT IUU list within 30 days of the date of transmittal by the Executive Secretary on the grounds that:

- i) there is satisfactory information to establish that:
  - a) The vessel did not engage in the IUU fishing activities identified by the other RFMO, or
  - b) That effective action has been taken in response to the IUU fishing activities in question, including, *inter alia*, prosecution, and imposition of sanctions of adequate severity,
- or
- ii) There is insufficient supporting information and other information regarding the listing determination to establish that none of the conditions in pursuant to sub-paragraph i) above have been met.

In the event of an objection to a vessel listed by another RFMO managing tuna or tuna-like species being included on the final ICCAT IUU Vessel List pursuant to this paragraph, such vessel shall be placed on the Draft IUU Vessel List and considered by the PWG pursuant to paragraph 6.

11bis. This recommendation shall apply to fishing vessels 20 meters or greater in length overall and, *mutatis mutandis*, fish processing vessels, tug and towing vessels, vessels engaged in transshipment, and support vessels. The Commission shall, at its annual meeting in 2011, review and, as appropriate, revise this recommendation with a view to its extension to other types of IUU fishing activities.

12. Without prejudice to the rights of flag States and coastal States to take proper action consistent with international law, CPCs shall not take any unilateral trade measures or other sanctions against vessels provisionally included in the Draft IUU List, pursuant to paragraph 4, or which have been already removed from the list, pursuant to paragraph 6, on the grounds that such vessels are involved in IUU fishing activities.

#### **Deletion from the IUU vessels list**

13. A non-Contracting Party whose vessel appears on the IUU List may request the removal of this vessel from the list during the inter-sessional period by providing the following information:
- It has adopted measures so that this vessel conforms with ICCAT conservation measures,
  - It is and will continue to assume effectively its responsibilities with respect to this vessel in particular as regards the monitoring and control of the fishing activities executed by this vessel in the ICCAT Convention area,
  - It has taken effective action in response to the IUU fishing activities in question including prosecution and imposition of sanctions of adequate severity; and/or
  - The vessel has changed ownership and that the new owner can establish the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it and that the new owner has not participated in IUU fishing.

#### **Inter-sessional modification of the IUU Vessels List**

14. The non-Contracting Party shall send its request for the removal of a vessel from the IUU Vessels List to the ICCAT Executive Secretary accompanied by the supporting information referred to in paragraph 13.
15. On the basis of the information received in accordance with paragraph 13, the ICCAT Executive Secretary will transmit the removal request, with all the supporting information to the Contracting Parties within 15 days following the notification of the removal request.

16. The Contracting Parties will examine the request to remove the vessel and arrive at a conclusion on either the removal from, or the maintenance of the vessel on the IUU Vessels List by mail within 30 days following the notification by the Executive Secretary. The result of the examination of the request by mail will be checked by the Executive Secretary at the end of the 30-day period following the date of the notification by the Executive Secretary referred to in paragraph 16.
17. The Executive Secretary will communicate the result of examination to all Contracting Parties.
18. If the result of the exercise indicates that there is a majority of the Contracting Parties in favor of removal of the vessel from the IUU List, the Chairperson of ICCAT, on behalf of ICCAT, will communicate the result to all the Contracting Parties and to the non-Contracting Party which requested the removal of its vessel from the IUU list. In the absence of a majority, the vessel will be maintained on the IUU List and the Executive Secretary will inform the non-Contracting Party accordingly.
19. The ICCAT Executive Secretary will take the necessary measures to remove the vessel concerned from the ICCAT IUU Vessels List, as published on the ICCAT web site. Moreover, the ICCAT Executive Secretary will forward the decision of removal of the vessel to other regional fishery organizations.

#### **General dispositions**

20. This recommendation replaces the *Recommendation by ICCAT Amending the Recommendation by ICCAT to Establish a List of Vessels Presumed to Have Carried Out Illegal, Unreported and Unregulated Fishing Activities in the ICCAT Convention Area* [Rec. 06-12] and the *Recommendation by ICCAT Amending ICCAT's List of Fishing Vessels Presumed to be Engaged in Illegal, Unreported and Unregulated Fishing Activities in the ICCAT Convention Area and Other Areas* [Rec. 07-09].
21. This Recommendation shall apply *mutatis mutandis* to vessels referred to in paragraph 11bis flying the flag of CPCs.

**Information to be included in all IUU Lists (Draft, Provisional and Final)**

The Draft IUU List, as well as the Provisional IUU List shall contain the following details, where available:

- i) Name of vessel and previous names;
- ii) Flag of vessel and previous flag;
- iii) Name and address of owner of vessel and previous owners, including beneficial owners, and owner's place of registration;
- iv) Operator of vessel and previous operators;
- v) Call sign of vessel and previous call sign;
- vi) Lloyds/IMO number;
- vii) Photographs of the vessel;
- viii) Date vessel was first included on the IUU List;
- ix) Summary of activities which justify inclusion of the vessel on the List, together with references to all relevant documents informing of and evidencing those activities

**RECOMMENDATION BY ICCAT AMENDING THE RECOMMENDATION 08-12  
ON AN ICCAT BLUEFIN TUNA CATCH DOCUMENTATION PROGRAM**

*RECOGNIZING* the situation of Atlantic bluefin tuna stocks and the impact that market factors have on the fishery;

*TAKING INTO ACCOUNT* the rebuilding plan for western Atlantic bluefin tuna and the recovery plan for eastern Atlantic and Mediterranean bluefin tuna that ICCAT has adopted, including the need for complementary market related measures;

*RECOGNIZING* the necessity to clarify and improve the implementation of the bluefin tuna catch documentation scheme, providing detailed instructions for the issuance, numbering, completion and the validation of the bluefin tuna catch document,

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF  
ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**PART I  
GENERAL PROVISIONS**

1. Each Contracting Party, Cooperating non-Contracting Party, Entity and Fishing Entity (hereafter referred to as CPCs) shall take the necessary steps to implement an ICCAT Bluefin Tuna Catch Documentation Scheme for the purpose of identifying the origin of any bluefin tuna in order to support the implementation of conservation and management measures.
2. For the purpose of this Program:
  - a) "Domestic trade" means:
    - trade of bluefin tuna harvested in the ICCAT Convention area by a vessel or trap, which is landed in the territory of the CPC where the vessel is flagged or where the trap is established, and
    - trade of farmed bluefin tuna products originating from bluefin tuna harvested in the ICCAT Convention area by a vessel which is flagged to the same CPC where the farm is established, which is supplied to any entity in this CPC, and
    - trade between the Member States of the European Community of bluefin tuna harvested in the ICCAT Convention area by vessels flagged to one Member State or by a trap established in one Member State.
  - b) "Export" means:
 

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of the CPC where the fishing vessel is flagged or where the trap or farm is established to the territory of another CPC or non-Contracting Party, or from the fishing grounds to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non-Contracting Party.
  - c) "Import" means:
 

Any introduction of bluefin tuna in its harvested or processed form (including farmed) into the territory of a CPC, which is not the CPC where the fishing vessel is flagged or where the trap or the farm is established.
  - d) "Re-export" means: Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of a CPC where it has been previously imported.

e) “flag State” means the State where the fishing vessel is flagged; “trap State” means the State where the trap is established; and “farm State” means the State where the farm is established.

3. CPCs shall require a completed Bluefin Tuna Catch Document (BCD) for each bluefin tuna:
- a) landed at its ports,
  - b) delivered to its farms, and
  - c) harvested from its farms.

Each consignment of bluefin tuna domestically traded, imported into or exported or re-exported from its territories shall be accompanied by a validated BCD, except in cases where paragraph 12(c) applies and, as applicable, an ICCAT transfer declaration or a validated Bluefin Tuna Re-export Certificate (BFTRC). Any such landing, transfer, delivery, harvest, domestic trade, import, export or re-export of bluefin tuna without a completed and validated BCD or a BFTRC shall be prohibited.

4. In order to support an effective BCD, CPCs shall not place bluefin tuna into a farm not authorized by the CPC or listed in the ICCAT record.
5. Farm CPCs shall ensure that bluefin tuna catches are placed in separate cages or series of cages and partitioned on the basis of flag CPC origin. By derogation, if the bluefin tuna are caught in the context of a joint fishing operation, farm CPCs shall ensure that bluefin tuna are placed in separate cages or series of cages and partitioned on the basis of joint fishing operations.
6. Farm CPCs shall ensure that bluefin tuna are harvested from farms in the same year in which they were caught, or before the beginning of the purse seiners fishing period, if harvested in the following year. In the case where harvesting operations are not completed before this date, farm CPCs shall complete and transmit an annual carry-over declaration to the ICCAT Secretariat within 15 days after this date. Such declaration shall include:
- quantities (expressed in kg) and number of fish intended to be carried over,
  - year of catch,
  - size composition,
  - flag CPC, ICCAT number and name of the catching vessel,
  - references of the BCD corresponding to the catches carried over,
  - name and ICCAT number of the fattening facility,
  - cage number, and
  - information on harvested quantities (expressed in kg), when completed.
7. Quantities carried over in accordance with paragraph 6 shall be placed in separate cages or series of cages in the farm on the basis of the catch year.
8. Each CPC shall provide BCD forms only to catching vessels and traps authorized to fish bluefin tuna in the Convention area, including as by-catch. Such forms are not transferable. Each BCD form shall have a unique document identification number. Document numbers shall be specific to the flag or trap State and assigned to the catching vessel or trap.
9. Domestic trade, export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Recommendation.

## **PART II**

### **VALIDATION OF BCDs**

10. The catching vessel master or trap operator, or its authorized representative, or the operator of farms, or the authorized representative of the flag, farm, or trap State, shall complete the BCD by providing the required information in appropriate sections and request validation in accordance with paragraph 12 for a BCD for catch landed, transferred to cages, harvested, transhipped, domestically traded or exported on each occasion that it lands, transfers, harvests, tranships, domestically trades or exports bluefin tuna.

11. A validated BCD shall include, as appropriate, the information identified in **Annex 1** attached. A BCD format is attached as **Annex 2**. Instructions for the issuance, numbering, completion and validation of the BCD are attached as **Annex 3**. In cases where a section of the BCD format does not provide enough room to completely track movement of BFT from catch to market, the needed information section of the BCD maybe expanded as necessary and attached as an annex using the original BCD format and number. The authorized representative of the CPC shall validate the annex as soon as possible but not later than the next movement of BFT.
12. a) The BCD must be validated by an authorized government official, or other authorized individual or institution, of the flag State of the catching vessel, the State of the seller/exporter, or the trap or farm State that caught, harvested, domestically traded or exported the bluefin tuna.
- b) The CPCs shall validate the BCD for all bluefin tuna products only when all the information contained in the BCD has been established to be accurate as a result of the verification of the consignment, and only when the accumulated validated amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to catching vessels or traps, and when those products comply with other relevant ICCAT provisions of the conservation and management measures.
- c) Validation under 12(a) shall not be required in the event that all bluefin tuna available for sale are tagged by the flag State of the catching vessel or the trap State that fished the bluefin tuna.
- d) Where the bluefin tuna quantities caught and landed are less than 1 metric ton or three fish, the logbook or the sales note may be used as a temporary BCD, pending the validation of the BCD within seven days and prior to export.

### **PART III VALIDATION OF BFTRCs**

13. Each CPC shall ensure that each bluefin tuna consignment which is re-exported from its territory be accompanied by a validated Bluefin Tuna Re-export Certificate (BFTRC). In cases where bluefin tuna is imported live, the BFTRC shall not apply.
14. The operator who is responsible for the re-export shall complete the BFTRC by providing the required information in its appropriate sections and request its validation for the bluefin tuna consignment to be re-exported. The completed BFTRC shall be accompanied by a copy of the validated BCD(s) relating to the bluefin tuna products previously imported.
15. The BFTRC shall be validated by an authorized government official or authority.
16. The CPC shall validate the BFTRC for all bluefin tuna product only when:
  - a) all the information contained in the BFTRC has been established to be accurate,
  - b) the validated BCD(s) submitted in support to the BFTRC had been accepted for the importation of the products declared on the BFTRC and
  - c) the products to be re-exported are wholly or partly the same product on the validated BCD(s).
  - d) a copy of the BCD(s) shall be attached to the validated BFTRC.
17. The validated BFTRC shall include the information identified in **Annex 4** and **Annex 5** attached.

### **PART IV VERIFICATION AND COMMUNICATION**

18. Each CPC shall communicate a copy of all validated BCDs or BFTRCs, except in cases where paragraph 12(c) applies, within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the following:

- a) the competent authorities of the country where the bluefin tuna will be domestically traded, or transferred into a cage or imported, and
  - b) the ICCAT Secretariat.
19. The ICCAT Secretariat shall extract from the validated BCDs or BFTRCs communicated under paragraph 18 above the information marked with an asterisk (\*) in **Annex 1** or **Annex 4** and enter this information in a database on a password protected section of its website, as soon as practicable.

At its request, the SCRS shall have access to the catch information contained in the database, except the vessel or trap names.

## **PART V TAGGING**

20. CPCs may require their catching vessels or traps to affix a tag to each bluefin tuna preferably at the time of kill, but no later than the time of landing. Tags shall have unique country specific numbers and be tamper proof. The tag numbers shall be linked to the BCD and a summary of the implementation of the tagging program shall be submitted to the ICCAT Secretariat by the CPC. The use of such tags shall only be authorized when the accumulated catch amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to vessels or traps.

## **PART VI VERIFICATION**

21. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of bluefin tuna landed in, domestically traded in, imported into or exported or re-exported from its territory and request and examine the validated BCD(s) and related documentation of each consignment of bluefin tuna. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the BCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.
22. If, as a result of examinations or verifications carried out pursuant to paragraph 21 above, a doubt arises regarding the information contained in a BCD, the final importing State and the CPC whose competent authorities validated the BCD(s) or BFTRCs shall cooperate to resolve such doubts.
23. If a CPC involved in trade of bluefin tuna identifies a consignment with no BCD, it shall notify the findings to the exporting State and, where known, the flag State.
24. Pending the examinations or verifications under paragraph 21 to confirm compliance of the bluefin tuna consignment with the requirements in the present Recommendation and any other relevant Recommendations, the CPCs shall not grant its release for domestic trade, import or export, nor, in the case of live bluefin tuna destined to farms, accept the transfer declaration.
25. Where a CPC, as a result of examination or verifications under paragraph 21 above and in cooperation with the validating authorities concerned, determines that a BCD or BFTRC is invalid, the domestic trade, import, export or re-export of the bluefin tuna concerned shall be prohibited.
26. The Commission shall request the non-Contracting Parties that are involved in domestic trade, import, export or re-export of bluefin tuna to cooperate with the implementation of the Program and to provide to the Commission data obtained from such implementation.

## **PART VII NOTIFICATION AND COMMUNICATION**

27. Each CPC that validates BCDs in respect of its flag catching vessels, traps or farms in accordance with paragraph 12(a), shall notify the ICCAT Secretariat of the government authorities, or other authorized individuals or institutions (name and full address of the organization(s) and, where appropriate, name and

title of the validating officials who are individually empowered, sample form of document, sample impression of stamp or seal, and as appropriate tag samples) responsible for validating and verifying BCDs or BFTRCs. This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the bluefin tuna catch documentation program shall be communicated with the initial notification, including procedures to authorize nongovernmental individuals or institutions. Updated details on validating authorities and national provisions shall be communicated to the ICCAT Secretariat in a timely fashion.

28. The information on validating authorities transmitted by notifications to the ICCAT Secretariat shall be placed on the password protected page of the database on validation held by the ICCAT Secretariat. The list of the CPCs having notified their validating authorities and the notified dates of entry into force of the validation shall be placed on a publicly accessible website held by the ICCAT Secretariat. CPCs are encouraged to access this information to help verify the validation of BCDs and BFTRCs.
29. Each CPC shall notify to the ICCAT Secretariat the points of contact (name and full address of the organization(s)) that should be notified when there are questions related to BCDs or BFTRCs.
30. Copies of validated BCDs and notification pursuant to paragraphs 27, 28 and 29 shall be sent by CPCs to the ICCAT Secretariat, by electronic means, whenever possible.
31. The Commission shall consider the introduction of an electronic system as informed by results reported to the Commission from the electronic statistical document pilot programs conducted by CPCs in accordance with *Recommendation by ICCAT on an Electronic Statistical Document Pilot Program* [Rec. 06-16]. Those CPCs which implement an electronic system in advance of the Commission shall ensure the electronic system meets the requirements of this measure and has the ability to produce paper copies upon request of national authorities from the exporting and importing Parties.
32. Copies of BCDs shall follow each part of split shipments or processed product, using the unique document number of the BCD to link them.
33. CPCs shall keep copies of documents issued or received for at least two years.
34. CPCs shall provide to the ICCAT Secretariat a report each year by October 1 for the period from July 1 of the preceding year to June 30 of the current year to provide the information described in **Annex 6**.

The ICCAT Secretariat shall post these reports on the password protected section of the ICCAT website, as soon as practicable.

At its request, the SCRS shall have access to the reports received by the ICCAT Secretariat.

35. The *Recommendation by ICCAT Amending Recommendation 07-10 on an ICCAT Bluefin Tuna Catch Document Program* [Rec. 08-12] is repealed and replaced by this Recommendation.

**Data to be Included in Bluefin Tuna Catch Document (BCD)**

**1. ICCAT Bluefin tuna catch document number\***

**2. Catch Information**

Vessel or trap name\*

Flag State\*

ICCAT Record No.

Date, area of catch and gear used\*

Number of fish, total weight, and average weight\*<sup>1</sup>

ICCAT Record number of Joint Fishing Operation (if applicable)\*

Tag No. (if applicable)

*Government validation*

Name of authority and signatory, title, signature, seal and date

**3. Trade Information for live fish trade**

*Product description*

*Exporter/Seller information*

*Transportation description*

*Government validation*

Name of authority and signatory, title, signature, seal and date

*Importer/buyer*

**4. Transfer information**

*Towing vessel description*

ICCAT Transfer Declaration No.

Vessel name, flag

ICCAT Record No.

Number of fish dead during transfer

Total weight of dead fish (kg)

*Towing cage description*

Cage number

**5. Transshipment information**

*Carrier vessel description*

Name, Flag State, ICCAT Record No., Date, Port name, Port state, position

*Product description*

(F/FR; RD/GG/DR/FL/OT)

Total weight (NET)

*Government validation*

Name of authority and signatory, title, signature, seal and date

**6. Farming information**

*Farming facility description*

Name, flag of farm\*, ICCAT FFB No.\* and location of farm

Participation in national sampling program (yes or no)

*Cage description*

Date of caging, cage number

*Fish description*

Estimates of number of fish, total weight, and average weight\*<sup>1</sup>

*ICCAT regional observer information*

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\*See Paragraph 19.

<sup>1</sup> Weight shall be reported by round weight where available. If round weight is not used, specify the type of product (e.g., GG) in the "Total Weight" and "Average Weight" section of the form.

Name, ICCAT No., signature  
Estimated size composition (<8 kg, 8-30 kg, >30 kg)  
*Government validation*  
Name of authority and signatory, title, signature, seal and date

### **7. Harvesting information**

*Harvesting description*  
Date of harvest\*  
Number of fish, total (round) weight, and average weight\*  
Tag numbers (if applicable)  
*ICCAT regional observer information*  
Name, ICCAT No., signature  
*Government validation*  
Name of authority and signatory, title, signature, seal and date

### **8. Trade information**

*Product description*  
(F/FR; RD/GG/DR/FL/OT)<sup>2</sup>  
Total weight (NET)\*  
*Exporter/Seller information*  
Point of export or departure\*  
Export company name, address, signature and date  
State of destination\*  
Description of transportation (relevant documentation to be attached)  
*Government validation*  
Name of authority and signatory, title, signature, seal and date  
*Importer/buyer information*  
Point of import or destination\*  
Import company name, address, signature and date<sup>3</sup>

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<sup>2</sup> When different types of products are recorded in this section, the weight shall be recorded by each product type.

<sup>3</sup> DATE to be filled by IMPORTER/BUYER in this section is the date of signature.

## Bluefin Tuna Catch Document Form

1. ICCAT BLUE FIN TUNA CATCH DOCUMENT (BCD)				N° CC-YY-XXXXXX		1/2	
<b>2. CATCH INFORMATION</b>							
VESSEL/TRAP							
NAME :		FLAG		ICCAT RECORD No.			
CATCH DESCRIPTION							
DATE (ddmmyy)		AREA		GEAR			
No. of FISH		TOTAL WEIGHT (kg)		AVERAGE WEIGHT (kg)			
TAGS No. (if applicable)						ICCAT RECORD No. of Joint Fishing Operation (if applicable)	
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
<b>3. TRADE INFORMATION FOR LIVE FISH TRADE</b>							
PRODUCT DESCRIPTION							
LIVE WEIGHT (kg)		No. of FISHES		ZONE			
EXPORTER/SELLER							
PT EXPORTATION / DEPARTURE		COMPANY		ADDRESS			
FARM OF DESTINATION		STATE		ICCAT FFB No.			
SIGNATURE							
DATE							
TRANSPORTATION DESCRIPTION		(Relevant documentation to be attached)					
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
IMPORTER/BUYER							
COMPANY		PT IMPORTATION / DESTINATION (city, country, State)					
ADDRESS							
DATE OF SIGNATURE		SIGNATURE					
ANNEX(ES): YES / NO (circle one)							
<b>4. TRANSFER INFORMATION</b>							
TOWING VESSEL DESCRIPTION							
ICCAT TRANSFER DECLARATION No.							
NAME		FLAG		ICCAT RECORD No.			
No. of FISH DEAD DURING TRANSFER				TOTAL WEIGHT OF DEAD FISH (kg)			
TOWING CAGE DESCRIPTION		CAGE No.					
ANNEX(ES): YES / NO (circle one)							
<b>5. TRANSHIPMENT INFORMATION</b>							
CARRIER VESSEL DESCRIPTION							
NAME		FLAG		ICCAT RECORD No.			
DATE (ddmmyy)		PORT NAME		PORT STATE			
POSITION (LAT/LONG)							
PRODUCT DESCRIPTION (Indicate net weight in kg for each type of product)							
F	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT F (kg)	
FR	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT FR (kg)	
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
ANNEX(ES): YES / NO (circle one)							

ICCAT BLUE FIN TUNA CATCH DOCUMENT (BCD)					N° CC-YY-XXXXXX			2/2				
<b>6. FARMING INFORMATION</b>												
FARMING FACILITY DESCRIPTION	NAME		STATE		ICCAT FFB No.							
	SAMPLING NATIONAL PROGRAM? Yes or No (circle one)				LOCATION							
CAGE DESCRIPTION	DATE(ddmmyy)		CAGE No.									
FISH DESCRIPTION	No. OF FISH		TOTAL WEIGHT (kg)		AVERAGE WEIGHT (kg)							
ICCAT REGIONAL OBSERVER INFO.	NAME		ICCAT No.		SIGNATURE							
	SIZE COMPOSITION		< 8 Kg		8-30 Kg		> 30 Kg					
<b>GOVERNMENT VALIDATION</b>												
	NAME OF AUTHORITY				SEAL							
	TITLE											
	SIGNATURE											
	DATE											
ANNEX(ES): YES / NO (circle one)												
<b>7. HARVESTING INFORMATION</b>												
<b>HARVESTING DESCRIPTION</b>												
	DATE (ddmmyy)		FISH No.		TOTAL ROUND WEIGHT (kg)							
	AVERAGE WEIGHT (kg)		TAG No. (if applicable)									
ICCAT REGIONAL OBSERVER INFO.	NAME		ICCAT No.		SIGNATURE							
	<b>GOVERNMENT VALIDATION</b>											
	NAME OF AUTHORITY				SEAL							
	TITLE											
	SIGNATURE											
	DATE											
<b>8. TRADE INFORMATION</b>												
<b>PRODUCT DESCRIPTION</b> (Indicate net weight in kg for each type of product)												
<b>F</b>	RD (kg)		GG (kg)		DR (kg)		FL (kg)		OT(kg)		TOTAL WT F (kg)	
<b>FR</b>	RD (kg)		GG (kg)		DR (kg)		FL (kg)		OT(kg)		TOTAL WT FR (kg)	
<b>EXPORTER/SELLER</b>												
	PT EXPORTATION / DEPARTURE		COMPANY		ADDRESS							
	STATE OF DESTINATION											
	SIGNATURE											
	DATE											
<b>TRANSPORTATION DESCRIPTION</b> (Relevant documentation to be attached)												
<b>GOVERNMENT VALIDATION</b>												
	NAME OF AUTHORITY				SEAL							
	TITLE											
	SIGNATURE											
	DATE											
<b>IMPORTER/BUYER</b>												
	COMPANY				PT IMPORTATION / DESTINATION (city, country, State)							
	ADDRESS											
	DATE				SIGNATURE							
	ANNEX(ES): YES / NO (circle one)											

**Instructions for the issuance, the numbering, the completion and the validation  
of the Bluefin Tuna Catch Document (BCD)**

## **1. GENERAL PRINCIPLES**

### (1) Language

If a language other than an official ICCAT language (English, French and Spanish) is used in completing the BCD, the English translation shall be attached to this document.

### (2) Numbering

CPCs shall develop unique numbering system for BCDs using their ICCAT country code or ISO code in combination with at least a 8-digit number, of which at least two digits will indicate the year of catch.

Example: CA-09-123456 (*CA stands for Canada*)

In case of split shipments, or processed products, copies of the original BCD shall be numbered by supplementing the number of the original BCD with a 2-digit number.

Example: CA-09-123456-01, CA-09-123456-02, CA-09-123456-03, etc.

The numbering shall be sequential and preferably printed. The serial numbers of blank BCDs issued shall be recorded by the name of the recipient.

## **2. CATCH INFORMATION**

### (1) Completion

#### (a) *General principles:*

This section is applicable to all catches of bluefin tunas.

The master of the catching vessel or the trap operator or their authorised representative or the authorised representative of the flag or trap State shall be responsible for the completion and the request for validation of the CATCH INFORMATION section.

CATCH INFORMATION section shall be completed no later than the end of transfer, transhipment or landing operation.

Remark: in case of joint fishing operation, as defined by paragraph 2(f) of ICCAT Recommendation 08-05, the master of each catching vessel involved in the joint fishing operation shall complete a BCD form for each catch.

#### (b) *Specific instructions:*

"FLAG": indicate the flag or trap State.

"ICCAT Record No": indicate the ICCAT number of the catching vessel or trap authorised to fish bluefin tuna in the ICCAT Convention area. This information is not applicable to catching vessels which fish bluefin tuna as by-catch.

"GEAR": indicate the fishing gear using the following codes:

BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries unclassified
SURF	Surface fisheries unclassified
TL	Tended line
TRAP	Trap
TROL	Troll
UNCL	Unspecified methods
OT	Other type

"TOTAL WEIGHT": indicate the round weight in kilograms. If round weight is not used at the time of catch, indicate the type of product (e.g. GG). In case of joint fishing operation, quantity reported shall correspond to the allocation key defined for each catching vessel.

"AREA": indicate Mediterranean, western Atlantic, eastern Atlantic or Pacific.

"TAGS No (if applicable)": additional lines may be added to allow the listing of each tag number by individual fish.

## (2) Validation

The flag or trap State shall be responsible for the validation of the CATCH INFORMATION section unless bluefin tuna are tagged in accordance with Paragraph 20 of the Recommendation.

### **3. TRADE INFORMATION FOR LIVE FISH TRADE**

#### (1) Completion

##### (a) *General principles:*

This section is only applicable to export of live bluefin tunas.

The master of the catching vessel or his authorised representative or the authorised representative of the flag State shall be responsible for the completion and the request for validation of the TRADE INFORMATION FOR LIVE FISH TRADE section.

The TRADE INFORMATION FOR LIVE FISH TRADE section shall be completed before the first transfer operation, i.e. the transfer of fish from the catching vessel net to the transport cage.

Remark: in case that a quantity of fish dies during the transfer operation and is domestically traded or exported, the original BCD (CATCH INFORMATION section completed shall be copied for the fish, and TRADE INFORMATION section of the copied BCD shall be completed by the master of the catching vessel or his authorised representative or the authorised representative of the flag State and transmitted to the domestic buyer/importer. Government validation of this copy shall guarantee that it is a valid copy and has been recorded by authorities of the CPC. Without the government validation, any BCD copy is null and void.

(b) *Specific instructions:*

"ZONE": indicate the area of transfer, Mediterranean, western Atlantic, eastern Atlantic or Pacific.

"POINT OF EXPORT/DEPARTURE": indicate the CPC name of the fishery zone where the bluefin tuna were transferred or indicate "high seas" otherwise.

"TRANSPORTATION DESCRIPTION": attach any relevant document certifying the trade.

(2) Validation

The flag State shall not validate documents where the CATCH INFORMATION section is not completed.

#### **4. TRANSFER INFORMATION**

(1) Completion

(a) *General principles:*

This section is only applicable to live bluefin tunas.

The master of the catching vessel or his authorised representative or the authorised representative of the flag State shall be responsible for the completion of the TRANSFER INFORMATION section.

The TRANSFER INFORMATION section shall be completed no later than the end of the first transfer operation, i.e. the transfer of fish from the catching vessel net to the transport cage.

At the end of the transfer operation, the master of the catching vessel shall provide the BCD (CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE and TRANSFER INFORMATION sections completed and, where applicable, validated) to the master of the tug vessel.

The completed BCD shall accompany the transfer of fish during transport to farm, including transfer of live bluefin tuna from the transport cage to another transport cage or transfer of dead bluefin tuna from the transport cage to an auxiliary vessel.

Remark: in case that some fish die during the transfer operation, the original BCD (CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE and TRANSFER INFORMATION sections completed and, where applicable, validated) shall be copied, and TRADE INFORMATION section of the copied BCD shall be completed by the domestic seller/exporter or his authorised representative or the authorised representative of the flag State and transmitted to the domestic buyer/importer. Government validation of this copy shall guarantee that it is a valid copy and has been recorded by authorities of the CPC. Without the authorized government validation, any BCD copy is null and void.

(b) *Specific instructions:*

"No. OF FISH DEAD DURING TRANSFER" and "TOTAL WEIGHT OF DEAD FISH": information completed (if applicable) by the master of the tug vessel.

"CAGE No.": indicate each number of cages in the case of a tug vessel having more than one cage.

(2) Validation

Validation of this section is not required.

## **5. TRANSSHIPMENT INFORMATION**

### (1) Completion

#### (a) *General principles:*

This section is only applicable to dead bluefin tunas.

The master of the transshipping fishing vessel or his authorised representative or the authorised representative of the flag State shall be responsible for the completion and the request for validation of the TRANSHIPMENT INFORMATION section.

The TRANSHIPMENT INFORMATION section shall be completed at the end of the transshipment operation.

#### (b) *Specific instructions:*

"DATE": indicate the date of the transshipment.

"PORT NAME": indicate the designated port of transshipment.

"PORT STATE": indicate the CPC of the designated port of transshipment.

### (2) Validation

The flag State shall not validate documents where the CATCH INFORMATION section is not completed and validated.

## **6. FARMING INFORMATION**

### (1) Completion

#### (a) *General principles:*

This section is only applicable to live caged tunas.

The master of the tug vessel shall provide the BCD (CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE and TRANSFER INFORMATION sections completed and, where applicable, validated) to the farm operator at the time of caging.

The farm operator or his authorised representative or an authorized representative of the farm CPC shall be responsible for the completion and the request for validation of the FARM INFORMATION section.

The FARM INFORMATION section shall be completed at the end of the caging operation.

#### (b) *Specific instructions:*

"CAGE No": indicate each number of cage.

"ICCAT Regional Observer Information": indicate name, ICCAT # and signature.

### (2) Validation

The farm State shall be responsible for the validation of the FARM INFORMATION section.

The farm State shall not validate BCDs where the CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE and TRANSFER INFORMATION sections are not completed and, where applicable, validated.

## **7. HARVESTING INFORMATION**

### (1) Completion

#### (a) *General principles:*

This section is only applicable to dead farmed tunas.

The farm operator or his authorised representative or an authorized representative of the farm CPC shall be responsible for the completion and the request for validation of the HARVEST FROM FARM INFORMATION section.

The HARVESTING INFORMATION section shall be completed at the end of the harvesting operations.

#### (b) *Specific instructions:*

"TAGS No (if applicable)": additional lines may be added to allow the listing of each tag number by individual fish.

"ICCAT Regional Observer Information": indicate name, ICCAT # and signature.

### (2) Validation

The farm CPC shall be responsible for the validation of the HARVESTING INFORMATION section.

The farm State shall not validate BCDs where the CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE, TRANSFER INFORMATION and FARMING INFORMATION sections are not completed and, where applicable, validated.

## **8. TRADE INFORMATION**

### (1) Completion

#### (a) *General principles:*

This section is applicable to dead bluefin tunas.

The domestic seller or exporter or their authorised representative or an authorized representative of the State of the seller/exporter shall be responsible for the completion and the request for validation of the TRADE INFORMATION section.

The TRADE INFORMATION section shall be completed prior to the fish being domestically traded or exported.

#### (b) *Specific instructions:*

"TRANSPORTATION DESCRIPTION": attach any relevant document certifying the trade.

### (2) Validation

The State of the seller/exporter shall be responsible for the validation of the TRADE INFORMATION section unless bluefin tuna are tagged in accordance with Paragraph 20 of the Recommendation.

Remark: in cases where more than one domestic trade or export results from a single BCD, a copy of the original BCD shall be validated by the State of the domestic seller or exporter and shall be used and accepted as an original BCD. Government validation of this copy shall guarantee that it is a valid copy and has been recorded by authorities of the concerned CPC. Without the authorized government validation, any BCD copy is null and void.

In cases of re-export, the RE-EXPORT CERTIFICATE (**Annex 5**) shall be used to track further movements, which shall be related to the catch information of the original BCD of the catch via the original BCD number.

When bluefin tuna is caught by a CPC using the tagging system, exported dead to a country, and re-exported to another country, the BCD accompanying the re-exported certificate does not have to be validated. However, the re-exported certificate shall be validated.

After import, a bluefin tuna may be divided into several pieces, which then may be subsequently exported. The re-exporting country shall confirm that the re-exported piece is part of the original fish accompanied by the BCD.

**Data to be Included in the Bluefin Tuna Re-export Certificate (BFTRC)**

**1. Document number of the BFTRC\***

**2. Re-export section**

Re-exporting CPC/Entity/Fishing Entity

Point of re-export\*

**3. Description of imported bluefin tuna**

Product type F/FR RD/GG/DR/FL/OT<sup>4</sup>

Net weight (kg)\*

BCD number(s) and date(s) of importation\*

Flag(s) of fishing vessel(s) or state of establishment of the trap, where appropriate

**4. Description of bluefin tuna to be re-exported**

Product type F/FR RD/GG/DR/FL/OT\*<sup>4</sup>

Net weight (kg)\*

Corresponding BCD number(s) from section 3

State of destination

**5. Statement of re-exporter**

Name

Address

Signature

Date

**6. Validation by governmental authorities**

Name and address of the authority

Name and position of the official

Signature

Date

Government seal

**7. Import section**

Statement by the importer in the CPC of import of the bluefin tuna consignment

Name and address of the importer

Name and signature of the importer's representative and date

Point of import: City and CPC\*

Note: Copies of the BCD(s) and Transport document(s) shall be attached.

<sup>4</sup>When different types of products are recorded in this section, the weight shall be recorded by each product type.

1. DOCUMENT NUMBER	ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE			
2. RE-EXPORT SECTION:				
RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY				
POINT OF RE-EXPORT				
3. DESCRIPTION OF IMPORTED BLUEFIN TUNA				
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight (kg)	Flag CPC	Date of import	BCD No.
4. DESCRIPTION OF BLUEFIN TUNA FOR RE-EXPORT				
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight (kg)	Corresponding BCD number		
F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttled, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product: )				
STATE OF DESTINATION:				
5. RE-EXPORTER STATEMENT:				
I certify that the above information is complete, true and correct to the best of my knowledge and belief.				
Name	Address	Signature	Date	
6. GOVERNMENT VALIDATION:				
I validate that the above information is complete, true and correct to the best of my knowledge and belief.				
Name & Title	Signature	Date	Government Seal	
7. IMPORT SECTION				
IMPORTER STATEMENT:				
I certify that the above information is complete, true and correct to the best of my knowledge and belief.				
Importer Certification				
Name	Address	Signature	Date	
Final Point of Import: City	State/Province	CPC		

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

Note: Valid transport document and copies of the BCDs shall be attached.

## Report on the Implementation of the ICCAT Bluefin Tuna Catch Documentation Programme

Reporting CPC:

Period of reference: 1 July [2XXX] to 30 June [2XXX]

### 1. Information extracted from BCDs

- number of BCDs validated
- number of validated BCDs received
- total amount of bluefin tuna products traded domestically, with breakdown by fishing areas and fishing gears
- total amount of bluefin tuna products imported, exported, transferred to farms, re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gears
- number of verifications of BCDs requested to other CPCs and summary results
- number of requests for verifications of BCDs received from other CPCs and summary results
- total amount of bluefin tuna consignments subject to a prohibition decision with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), reasons for prohibition and CPCs and/or non-Contracting Parties of origin or destination

### 2. Information on cases under Part VI paragraph 21.

- number of cases
- total amount of bluefin tuna with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), CPCs or other countries referred to in Part VI paragraph 21.

**RESOLUTION BY ICCAT FOR THE PILOT APPLICATION OF THE KOBE 2 DECISION MATRIX**

*RECALLING* that the Recommended Course of Actions from the first Global Summit of Tuna RFMOs in Kobe, Japan, included the standardization of stock assessment presentations, and that management decisions be based upon scientific advice, including the use and application of the precautionary approach;

*NOTING* that the first Global Summit of Tuna RFMOs agreed that stock assessment results be presented in a standardized “four quadrant, red-yellow-green” format that is now referred to as the “Kobe Plot”, which is widely embraced as a practical, user-friendly method to present stock status information;

*RECOGNIZING* that at the Second Joint Meeting of Tuna RFMOs in June 2009 in San Sebastian, Spain, it was recognized that the logical step following the Kobe Plot is a “strategy matrix” for fishery managers, laying out options for meeting management targets, such as ending overfishing and rebuilding overfished stocks, in a standardized manner;

*ACKNOWLEDGING* the Strategy Matrix as a harmonized format for RFMO science bodies to convey advice, the Commission would specify targets for each fishery, and the matrix would present the specific management measures that would achieve the intended management target with a certain probability by a certain time, and the probabilities and timeframes to be evaluated would be determined by the Commission;

*HIGHLIGHTING* that this format for presenting stock assessment results facilitates the application of the precautionary approach by providing Commissions with the basis to evaluate and adopt management options at various levels of probability;

*UNDERSCORING* the findings and recommendations of the First Working Group on the Future of ICCAT (Sapporo, Japan) for the use of the best available scientific information and precautionary approach, including a pilot application of the Kobe II strategy matrix on two ICCAT stocks to be assessed in 2010;

*COMMITTING* to improved data collection, reporting, accountability and transparency of stock status and rebuilding goals for Atlantic bluefin and bigeye tuna stocks;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF  
ATLANTIC TUNAS (ICCAT) RESOLVES THAT:

The SCRS should complete the following matrix with the corresponding levels of catch to provide management advice to the Commission in 2010 for bluefin and bigeye tunas as follows:

### Strategy Matrix for Setting Management Measures

Management Target	Time Frame*	Probability of Meeting Target				Data Rich/Data Poor
		50%	<u>60%</u>	75%	90%	
F <sub>MSY</sub>	In 1 year					
	In 3 years					
	In 5 years					

Management Target	Time Frame*	Probability of Meeting Target				Data Rich/Data Poor
		50%	<u>60%</u>	75%	90%	
B <sub>MSY</sub>	In 5 year					
	In 10 years					
	In 15 years					

\* In cases where a rebuilding timeframe has already been agreed, the SCRS should base its advice on that time frame.